

NATIONAL SUN YAT-SEN UNIVERSITY

College of Social Sciences Regulations of Faculty Promotion Evaluation

Amended and approved by the 5th College Faculty Evaluation Committee Meeting on April 23, 2003, School Year 91.
Amended and approved by the 4th College General Meeting on May 7, 2003, School Year 91.
Amended and approved by the 273rd University Faculty Evaluation Committee Meeting on May 14, 2003.
Amended and approved by the 6th College Faculty Evaluation Committee Meeting on April 29, 2004, School Year 92.
Amended and approved by the 4th College General Meeting on June 9, 2004, School Year 92.
Amended and approved by the 291st University Faculty Evaluation Committee Meeting on September 30, 2004.
Amended and approved by the 1st College Faculty Evaluation Committee Meeting on September 28, 2005.
Amended and approved by the 1st College General Meeting on November 8, 2005, School Year 94.
Amended and approved by the 298th University Faculty Evaluation Committee Meeting on December 1, 2005.
Amended and approved by the 3rd College Faculty Evaluation Committee Meeting on November 19, 2013, School Year 102.
Amended and approved by the 1st College General Meeting on November 21, 2013, School Year 102.
Amended and approved by the 358th University Faculty Evaluation Committee Meeting on December 12, 2013.
Amended and approved by the 363rd University Faculty Evaluation Committee Meeting on October 16, 2014.
Amended and approved by the 2nd College General Meeting on March 2, 2017, School Year 105.
Amended and approved by the 379th University Faculty Evaluation Committee Meeting on March 23, 2017.
Amended and approved by the 4th College General Meeting on May 23, 2018, School Year 106.
Amended and approved by the 388th University Faculty Evaluation Committee Meeting on June 21, 2018.

Article I This set of regulations is issued for implementing promotions of faculty members in the College of Social Sciences (hereinafter referred to as the “College”) in accordance with the Ministry of Education’s *Accreditation Regulations Governing Teacher Qualifications at Institutions of Higher Education*, National Sun Yat-sen University’s (hereinafter “NSYSU,” or the “University”) *Regulations of Teaching and Research Personnel Promotion Evaluation* and other applicable laws and regulations.

Article II Applications for faculty promotion must be reviewed by the Faculty Evaluation Committee of each department (or program, center) (hereinafter referred to as the "Faculty Evaluation Committee"), the College's Faculty Evaluation Committee, and the University's Faculty Evaluation Committee. Cases of faculty promotions primarily appointed by the college-level units are directly reviewed at the college and university levels.

Article III Faculty members at all levels applying for a promotion in the College (hereinafter “applicants”) must meet the following requirements:

- A. Applicants must meet the requirements set forth in Articles II and III of NSYSU’s *Regulations of Teaching and Research Personnel Promotion Evaluation*.
- B. **Applicants may choose one of the following methods of promotion:**
 1. **Promotion based on excellence in academic research:** Applicants who satisfy a minimum of one (1) of the criteria for promotion **at their current level** can apply for the following promotions:
 - a) From associate professor to professor

- (1) Won the NSTC Category A Research Award and/or the NSTC Principle Investigator Award for a total of three (3) times.
 - (2) Published one (1) SSCI paper.
 - (3) Published one (1) book with academic value reviewed by an anonymous review system.
 - (4) Published four (4) papers as the sole author in journals or books reviewed externally by anonymous review systems.
 - (5) Published three (3) TSSCI papers.
- b) From assistant professor to associate professor:
- (1) Won the MOST Category A Research Award and/or the MOST Principle Investigator Award for a total of two (2) times.
 - (2) Published one (1) SSCI paper.
 - (3) Published one (1) book with academic value reviewed by an anonymous review system.
 - (4) Published three (3) papers as the sole author in journals or books reviewed externally by anonymous review systems.
 - (5) Published two (2) TSSCI papers.
- c) From instructor/lecturer to assistant professor:
- (1) Holds a doctoral degree certificate (not limited by seniority).
 - (2) Published works of equivalent value to a doctoral dissertation.
 - (3) Won the MOST Category B Research Award and/or the MOST Principle Investigator Award for a total of two (2) times.
 - (4) Published one (1) SSCI paper.
 - (5) Published one (1) book with academic value reviewed by an anonymous review system.
 - (6) Published two (2) papers as the sole author in journals or books reviewed externally by anonymous review systems.
 - (7) Published one (1) TSSCI paper.
2. **Promotion based on excellence in teaching as research: Ten (10) points shall be accredited per award to NSYSU Outstanding Teaching Award winners (“outstanding teachers”) and five (5) points to NSYSU Excellent Teaching Award winners (“excellent teachers”). Applicants who accrue a total of 20 points or more are eligible.**
3. Technical Application Category: Applicants must meet the following conditions:
- (1) Applicants who submit a technical report must have previously received an award at this institution for Outstanding Teacher (Industry-Academia-Research category) or Excellent Teacher in Industry-Academia Research, or must have achieved a total of at least 24 points in the current rank under the Academic and

Industry-Research Performance-A2 criteria, including research grants and academic achievements over the past seven years.

- (2) The results represented in the technical report must be recognized by the Office of Industry-Academia Cooperation and Promotion and must be research and development outcomes named after National Sun Yat-sen University, achieved within the five years prior to the application for promotion. Additionally, the total number of other publications by the applicant in the current rank must meet the conditions required for promotion in the general research category.

Article IV Applicants may submit specialized publications or technical reports as academic research or teaching outcomes for external review, with a maximum of 10 items submitted. The applicant should select one as the representative work, with the rest listed as reference materials. If the submissions are part of a series of related research, they may be combined into a single representative work.

If a previous application for promotion was not approved, the applicant must include at least one additional or replaced work when resubmitting for review.

Applicants are required to provide necessary supporting documents to facilitate the promotion review process.

Article V The review criteria for faculty promotions in the College include academic research achievements, teaching outcomes, and service performance. Each attribute is weighted as follows:

A. Academic research: Academic and industry-research performance (A) accounts for 70% of the total score, of which external review scores for academic research achievements (A1) make up 75% of category A. Teaching performance (B) constitutes 20% of the total score, and service performance (C) accounts for the remaining 10%.

B. Teaching as research: Academic and industry-research performance (A) accounts for 50% of the total score, with external review scores for academic research achievements (A1) comprising 60% of category A. Teaching performance (B) constitutes 40% of the total score, and service performance (C) accounts for the remaining 10%.

C. Technical Application category: Academic and industry-research performance (A) accounts for 70% of the total score, within which external review scores for technical reports (A1) comprise 40% of category A. Teaching performance (B) constitutes 20% of the total score, and service performance (C) makes up the remaining 10%.

The combined total of the three categories must reach at least 70 points.

Additionally, for the external review of academic research results or technical reports, at least four external reviewers must rate the work as excellent or higher. For promotion to the rank of professor, the average score must be at least 80 points; for promotion to associate professor, the average score must be at least 77 points for the promotion to be approved.

Scoring for each attribute shall be processed in accordance with the *College of Social Sciences Regulations of Faculty Promotion Evaluation Scoring*. The specific rules for faculty promotion review scoring are separately established by the college.

Article VI Department-Level Faculty Evaluation Committee Promotion Review Process:

- A. Faculty members applying for promotion must submit the relevant documents to the department-level Faculty Evaluation Committee in advance. The committee will forward the candidate's academic research achievements and a list of individuals to be recused to the first college-level Faculty Evaluation Committee meeting of each semester for review of the academic research achievements. Following this, the documents are sent to the university-level unit for external review, and the results of the external review are sent back to the department-level Faculty Evaluation Committee for further evaluation.
- B. For faculty members who pass the department-level review, the convener of the department-level Faculty Evaluation Committee will add remarks and, along with the review scores, all documents, and meeting records, submit them for review at the college-level Faculty Evaluation Committee meeting held at the end of each semester.

Article VII College Faculty Evaluation Committee Promotion Review Process:

- A. When the college Faculty Evaluation Committee conducts promotion reviews, a meeting can only be held if more than two-thirds of the committee members are present. The committee may invite relevant personnel to provide additional written documentation or to attend and explain. If there are doubts about the review results, a vote is required; this vote must be anonymous and a decision can only be made with the agreement of more than two-thirds of the members present.
- B. The convener of the college Faculty Evaluation Committee should add remarks to the evaluations of faculty members who pass the review. Together with the evaluation scores, documents, and meeting records, these should be submitted to the university Faculty Evaluation Committee for deliberation before the end of December in the first semester or by June 16

in the second semester.

C. The effective date of the certificate for faculty members whose promotions are approved by the university Faculty Evaluation Committee will be the beginning of the subsequent semester (February 1 or August 1).

Article VIII Applicants who do not pass the secondary review by the College Faculty Evaluation Committee shall be notified of the decision and the specific reasons behind the decision in writing.

Applicants may appeal the Committee's decision in accordance with NSYSU's *Regulations of Teaching and Research Personnel Promotion Evaluation* or file a complaint directly with the NSYSU Personnel Grievances Committee pursuant to the *Guidelines for Appraisal and Organization of NSYSU Personnel Grievances Committee*.

Article IX Matters unaddressed in this set of regulations shall be processed in accordance with the *Accreditation Regulations Governing Teacher Qualifications at Institutions of Higher Education*, NSYSU's *Regulations of Teaching and Research Personnel Promotion Evaluation*, and other applicable regulations of the University.

Article X This set of regulations shall be implemented following the approval of the College General Meeting, the review and approval of the University Faculty Evaluation Committee, and the authorization of the president. The same procedure shall be carried out when amendments are to be made.